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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/748,759	12/30/2003	Nathaniel Blake Scholl	026014-002300US	2699

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EXAMINER
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RETTA, YEHDEGA

ART UNIT	PAPER NUMBER
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3622

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07/13/2010

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/748,759	<b>Applicant(s)</b> SCHOLL ET AL.	
	<b>Examiner</b> Yehdega Retta	<b>Art Unit</b> 3622	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 04 May 2010.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,2,4,6-14,16-21,35 and 36 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1, 2, 4, 6-14, 16-21, 35 and 36 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)         | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)         | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                          |

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### **DETAILED ACTION**

This office action is in response to amendment filed May 4, 2010. Applicant amended claims 1, 4, 6, 9, 10-14, 16, 20, 35 and 36. Claims 1, 2, 4, 6-14, 16-21, 35 and 36 are currently pending.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 2, 4, 6-14, 16-21, 35 and 36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Calabria et al. (US 2005/0137939) in view of Stanbach et al. (US 6449657 B2).

Regarding claims 1 and 2 Calabria teaches one or more computer systems that collectively implement at least: a plurality of advertisement generators (keyword selection agent 52) that each use at least one algorithm to identify search terms corresponding to an item (selection of keyword or keyword combinations), determine at least one item-specific visual element (title or name of the book) (algorithm for selection of advertisement), create a link to information about the item, and generate an advertisement set (advertisement to be matched with the keyword or keyword combinations) [0052]-[0055] [0121]), for the item that includes at least one associated advertisement having the item-specific visual element, the link, and at least one

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search term matching the at least one keyword (see [0069]-[0072]); a fee calculator that at least calculates fee amounts for each of the advertisement sets generated by the advertisement generators based at least in part on anticipated profitability of the advertisement sets (see [0013], [0019] – [0023]; an advertisement manager that, at least: receives from the advertisement generators advertisement sets, receives from the fee calculator a fee amount for each of the generated advertisement sets, a fee calculator that at least calculates fee amounts for each of the advertisement sets generated by the advertisement generators based at least in part on anticipated profitability of the advertisement sets; an advertisement manager that, at least: receives from the advertisement generators advertisement sets, receives from the fee calculator a fee amount for each of the generated advertisement sets (see abstract, [0035]-[0040], [0044]- [0047], [0055]-[0059], [0109]). Calabria does teaches advertisement manager selects one of the generated advertisement sets for submission to the advertisement placement service and an advertisement submitter receives from the advertisement manger the selected advertisement set and fee amount for the selected advertisement set and sends to the advertisement placement service a request to place the selected advertisement set along with content associated with the keyword at the fee amount of the selected advertisement set (see [0104]-[0109], [0119]-[0122]). Calabria does not explicitly teach determines whether at least one of the generated advertisement sets would avoid conflict with the submitted advertisement set with respect to the at least one search term of the submitted advertisement set and, when at least one of the generated advertisement sets is determined to avoid conflict, selects one of the generated advertisement sets determined to avoid conflict, it is taught in Ford. Ford teaches in the event that a given keyword maps to multiple advertisements database manager may pick randomly among the candidate advertisement or

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alternatively the user may impose special condition such as requiring a round robin scheduling, so as to avoid repetitions of specific advertisement (conflict) (see col. 11 line 58 to col. 12 line 15). Thus it would have been obvious to one of ordinary skill in the art at the time of the invention to select the advertisement of Calabria in a round robin scheduling as in Ford in order to avoid repetition of the specific advertisements, as taught in Ford.

Regarding claims 4, 7, 8, Calabria teaches the advertisement manager selects one of the generated advertisement sets based at least in part on determined likelihood of users selecting the advertisement when it is placed along with a content associated with the keyword; a database containing statistics relating to placements of advertisements and wherein the fee calculator determines anticipated profitability based on analysis of the statistics; wherein the statistics include average cost-per-click of an advertisement and average revenue-per-click (see [0120]-[0123],[0133]- [0147]).

Regarding claim 6, Calabria teaches multiple advertisement submitters where each advertisement submitter is associated with a respective different advertisement placement service (see [0153]).

Regarding claims 9, 19, Calabria teaches under the control of one or more computer systems configured with executable instructions using each of a plurality of algorithms to at least: identify search terms corresponding to an item (selection of keyword or keyword combinations), determine at least one item-specific visual element (title or name of the book) (algorithm for selection of advertisement), create a link to information about the item, and generate an advertisement set (advertisement to be matched with the keyword or keyword

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combinations) [0052]-[0055] [0121]) for the item that includes at least one associated advertisement having the item-specific visual element, the link, and at least one search term matching the at least one keyword (see [0069]-[0072]); submitting one or more of the generated advertisement sets to an advertisement placement service with a bid amount (see [0104]-[0109], [0119]-[0122]). Calabria does not teach determines whether at least one of the generated advertisement sets would avoid conflict with the submitted advertisement set with respect to the at least one search term of the submitted advertisement set and, when at least one of the generated advertisement sets is determined to avoid conflict, selects one of the generated advertisement sets determined to avoid conflict, it is taught in Ford. Ford teaches in the event that a given keyword maps to multiple advertisements database manager may pick randomly among the candidate advertisement or alternatively the user may impose special condition such as requiring a round robin scheduling, so as to avoid repetitions of specific advertisement (conflict) (see col. 11 line 58 to col. 12 line 15). Thus it would have been obvious to one of ordinary skill in the art at the time of the invention to select the advertisement of Calabria in a round robin scheduling, as in Ford, in order to avoid repetition of the specific advertisements.

Regarding claims 10-14 and 16-18, Calabria teaches analyzing based at least in part on profit resulting from user selection of submitted one or more advertisement sets, the effectiveness of one or more submitted advertisement sets and wherein said selecting is based on least in part on said analyzing; calculating the bid amount based at least in part on advertising metrics collected by the advertiser; placing the advertisements associated with the submitted one or more advertisement sets with content associated with a keyword that matches the one or more

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identified search terms of the submitted one or more advertisement sets (see [0035]-[0040], [0044]- [0047], [0052]-[0060], [0109], [0121]).

Regarding claims 20 and 21, Calabria teaches filtering generated advertisement sets based on frequency of keywords in content or desirability of keywords (see [0054]-[0059]).

Regarding claims 35 and 36, Calabria teaches a computer program product embedded in a computer-readable medium comprising a program code for using a plurality of different algorithms (keyword selection agent 52) to identify search terms corresponding to an item (selection of keyword or keyword combinations), determine at least one item-specific visual element (title or name of the book), create a link to information about the item; program code to generate an advertisement set (advertisement to be matched with the keyword or keyword combinations) [0052]-[0055] [0121]), for the item that includes at least one associated advertisement having the item-specific visual element, the link, and at least one search term of the identified search term (see [0069]-[0072]); program code for associating each generated advertisement set with a corresponding bid amount (see abstract, [0035]-[0040], [0044]- [0047], [0055]-[0059], [0109]). Calabria teaches program code for selecting one of the generated advertisement sets and submitting the selected one or more unsubmitted generated advertisement (generated advertisement) (see [0104]-[0109], [0119]-[0122]). Calabria does not explicitly teach determining whether at least one of the generated advertisement sets would avoid conflict with the submitted advertisement set with respect to the at least one search term of the submitted advertisement set and, when at least one of the generated advertisement sets is determined to avoid conflict and selecting one of the generated advertisement sets in a round-robin manner, it is taught in Ford. Ford teaches in the event that a given keyword maps to multiple advertisements

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database manager may pick randomly among the candidate advertisement or alternatively the user may impose special condition such as requiring a round robin scheduling, so as to avoid repetitions of specific advertisement (conflict) (see col. 11 line 58 to col. 12 line 15). Thus it would have been obvious to one of ordinary skill in the art at the time of the invention to select the advertisement of Calabria in a round robin scheduling, as in Ford, in order to avoid repetition of the specific advertisements, as taught in Ford.

### ***Response to Arguments***

Applicant's arguments with respect to claims 1, 2, 4, 6-14, 16-21, 35 and 36 have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.



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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Yehdega Retta whose telephone number is (571) 272-6723. The examiner can normally be reached on 8-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eric Stamber can be reached on (571) 272-6724. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

YR

/Yehdega Retta/  
Primary Examiner, Art Unit 3622